Form P	ro-ı	449 Substitute U.S. Departm		Application Number		
		Patent and T	rademark Office	Filing Date	January 4, 20	
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(Use sev	eral	sheets if necessary)	JUL 1 8 2008	Art Unit	1636	
		,	\3.	Examiner Name	D. Guzo	
			The state of	Attorney Docket No.	75723-ZB/JPW,	/GJG
		NON PATENT	LITERATURE DOC	UMENTS		
Examiner Initials	Cite No.1	Include name of the author (in CAPIT/ (book, magazine, journal, serial, symposi	L LETTERS), title of th	e article (when approp age(s), volume-issue nu	riate), title of the item mber(s), publisher, city	T <sup>2</sup>
/C.H./	1	December 16, 2005 Cond Glimcher in Civil Case Exhibits 1-13 attached Information Disclosure of Laurie H. Glimcher Review Article Drugs, Opal 105]; Opal and H. [DDX399 12/16/05]; Joy Chemistry, (2001), 276 and Grinnell, Crit. Ca 12/16/05]; Brun-Buisso [DDX392 12/16/05], 276 Brueckmann et al., Inf 12/16/05]; Leeuwen et 1077 [DDX395 12/16/05]; Leeuwen et 1077 [DDX395 12/16/05], Leeuwen et 1077 [DDX395 12/16/05], Leeuwen et 1077 [DDX395 12/16/05], Leeuwen et Coulon (2004), 21:222-229 [DD (2004), 104:3878-3885 Care Med (2004) 32Sup	ensed Deposit. 02 CV 11280 V with this Th Statement, n. [DDX 385 12/1' (2004), 837-8 ber, Critical ce et al., Th :11199-11203 re Med, (2002 n et al., JAM ce et al., Th :11199-11203 lamm. Res. (2 al., Crit Car ; Derhaschnig 12/16/05]; Ka X397 12/16/05; Ka X397 12/16/05	ion of Laurick RWZ including ird Supplement amely: Currick Office of Supplement of Care, (2002 g. Journal of [DDX390 12/1]), 30:5288-53; a. (1995), 2: a. Journal of [DDX393 12/1]; 004), 528-53; a. Med (2001) et al., Blouill et al., (15); Nick et al., (55); baina	g deposition ntal culum Vitae and Opal, 2/16/05 & ), 6:125-136 Biological 6/05]; Joyce 293 [DDX391 74:968-974 Biological 6/05]; 3 [DDX394, 29:1074-od. (2003), Chock, l., Blood, ut. Crit	
/C.H./	July 16, 2007 Memorandum In Support of Eli Lilly And					
/C.H./	August 22, 2007 Reply Memorandum In Support of Eli Lilly And Company's Motion To Stay Entry of Judgement Pending Reexamination or For Settlement of Form of Final Judgement, Document 414, filed 08/22/2007, pgs. 1-11, including Exhibits 2-6 in Civil Case 02 CV 11280 RWZ;					
/C.H./	4	September 10, 2007 Fin 09/10/2007, pgs. 1-5 i	al Judgement, n Civil Case	Document 41 02 CV 11280 1	7, filed RWZ;	
EXAMINER SIGNATUR		/Catherine Hibbert/	DATE CONSIDERED	04/14/2009		

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a checkmark here if English language Translation is attached.

> Applicants: David Baltimore, et al. Serial No.: 10/037,415

Filed: January 4, 2002

Exhibit A

Form PTO-1449 Substitute

U.S. Department of Commerce Patent and Trademark Office

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Attorney Docket No. 75723-ZB/JPW/GJG NON PATENT LITERATURE DOCUMENTS  $\overline{T^2}$ Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Initials No.1 (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. September 23, 2007 Memorandum In Support of Defendant's /C.H./ Renewed Motion For Judgment As A Matter Of Law Or, In The Alternative, A New Trial, Document 420, filed 09/23/2007, pgs. 1-53, including Exhibit 2 in Civil Case 02 CV 11280 RWZ: July 16, 2007 Declaration Of Laura P. Masurovsky For Eli /C.H./ Lilly And Company's Motion To Stay Entry Of Judgment 6 Pending Reexamination Or For Settlement Of Form of Final Judgment, Document 410, filed 07/16/2007, pgs. 1-2, including Exhibits 2-13 in Civil Case 02 CV 11280 RWZ: July 26, 2007 Plaintiffs' Opposition To Lilly's Motion To Stay Entry Of Judgment Pending Reexamination or for /C.H./ Settlement of Form of Final Judgement, Document 411, filed 07/26/2007, pgs. 1-11, including Exhibit 2 in Civil Case 02 CV 11280 RWZ; Rule 26(A)(2) Rebuttal Report of Thomas R. Kadesch, Ph.D. dated October 21, 2005 in Civil Case 02 CV 11280 RWZ including Exhibits 1-71 attached with this Fourth Supplemental Information Disclosure Statement, namely: U.S. Patent No. 5,500,365, issued March 19, 1996, Fischhoff et al.; U.S. Patent No. 5,625,136, issued April 29, 1997, /C.H./ Koziel et al.; File History of U.S. Serial No. 08/418,266, filed April 6, 1995; U.S. Patent No. 5,804,374, issued September 8, 1998, Baltimore et al.; U.S. Patent No. 5,939,421, issued August 17, 1999, Palanki et al.; File 8 History of 06/946,365, filed December 24, 1986; File History of U.S. Serial No. 06/817,441, filed January 9, 1986; U.S. Patent No. 6,060,310, issued May 9, 2000, Cho-Chung; File History of U.S. Serial No. 07/791.898, issued November 13, 1991; U.S. Patent No. 6,410,516, issued June 25, 2002, Baltimore et al.; U.S. Patent No. 6,841,371, issued January 11, 2005, Gerlitz et al.; File History of U.S. Serial No. 07/155,207, filed February 12, 1988; File History of U.S. Serial No. 07/162,680, filed March 1, 1988: File History of U.S. Serial No. 07/280,173, filed December 5, 1988;

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04/14/2009

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Form PTO-1449 Substitute

U.S. Department of Commerce

Patent and Trademark Office

Application Number 10/037,415 Filing Date January 4, 2002 David Baltimore First Named Inventor et al. Art Unit 1636 D. Guzo Examiner Name 75723-ZB/JPW/GJG

Attorney Docket No.

#### INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

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	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>	
/C.H./	8 con t.	and/or country where published.  File History of U.S. Serial No. 07/318,901, filed March 3, 1989; File History of U.S. Serial No. 07/341,436, filed April 21, 1989; Arruda et al., Blood (2005) 105:3458-3464; Kline et al., Int. J. Immunopharmac. (1984) 6:467-473; Ballard et al., Cell (1990) 63:803-814; Bass et al., Proteins: Structure, Function & Genetics (1990) 8:309-314; Berns et al., Breast Cancer Research & Treatment (1984) 4:195-204; Boda et al., Folia Biologica (1987) 33:93-97; Bressler et al., Journal of Virology (1993) 67:288-293; Brown et al., Methods in Enzymology (1979) 68:109-151; Brown et al., Science (1995) 267:1485-1488; Cai et al., The Journal of Biological Chemistry (1997) 272:96-101; Cunningham and Wells, Science (1989) 244:1081-1085; Davis et al., Science (1991) 25:1268-1271; Du et al., Molecular Brain Research (2005) 136:177-188; Esslinger et al., The Journal of Immunology (1997) 158:5075-5078; Curriculum Vitae of Thomas Robert Kadesch dated 4/26/05; Fenteany et al., Science (1995) 268:726-731; Fiedler et al., Am. J. Respir. Cell Mol. Biol. (1998) 19:259-268; Fujihara et al., The Journal of Immunology (2000) 165:1004-1012; Gallop et al., Jof Medicinal Chemistry (1994) 37:1233-1251; Gehrt et al., The Journal of Antibiotics (1998) 51:455-463; Gesner et al., Journal of Cellular Physiology (1988) 136:493-499; Gill and Ptashne, Nature (1988) 334:721-724; Haskill et al., Cell (1991) 65:1281-1289; Horuk R., Journal of Immunological Methods (1989) 119:255-258; Hoyos et al., Science (1989) 244:457-460; Kawamura et al. Gene Therapy (2001) 905-912; Khaled et al., Clinical Immunology (1998) 86:170-179; Krappmann et al., The EMBO Journal (1996) 15:6716-6726; Kumar et al., Oncogene (1998) 17:913-918; Lenardo and Baltimore, Cell (1989) 58:227-229; Lloyd et al., Nature (1991) 352:635-638; Logeat et al., The EMBO Journal of Biological Chemistry (1998) 273:33508-33516; McKinney et al., The Journal of Biological Chemistry (1998) 277:222377-22380; McKinght and Kingsbury, Science (1982) 217:316-324; Meng et al., P		
		Myers et al., Science (1986) 232:613-618;		

EXAMINER SIGNATURE /Catherine Hibbert/

04/14/2009 DATE CONSIDERED

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#### Form PTO-1449 Substitute

U.S. Department of Commerce Patent and Trademark Office

# INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Application Number	10/037,415
Filing Date	January 4, 2002
First Named Inventor	David Baltimore
Pirst Named Inventor	et al.
Art Unit	1636
Examiner Name	D. Guzo
	BCB00 //

		Attorney Docket No. 75723-ZB/JPW/	GJG		
	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	T <sup>2</sup>		
/C.H./	8 con t.				
/C.H./	9	October 21, 2005 Rule 26(A)(2) Rebuttal Report Of Thomas R. Kadesch, Ph.D. in Civil Case 02 CV 11280 RWZ;			
/C.H./	10	February 12, 2001 Response and Amendment in U.S. Serial No. 08/464,364, ADL 0000843-0000853, Document 198, filed 02/03/2006, in Civil Case 02 CV 11280 RWZ;			
/C.H./	11	Davis et al., Science (1991) 253:1268-1271, Document 198, 02/03/2006, in Civil Case 02 CV 11280 RWZ;			
/C.H./	12	Haskill, et al., Cell (1991) 65:1281-12889, Document 198, filed 02/03/2006, in Civil Case 02 CV 11280 RWZ;			
/C.H./	13	March 3, 2004 Memorandum Of Decision And Order on claim construction;			
/C.H./	C.H./ 14 November 11, 2005 Reply Expert Report of Dr. Stephen Prescott, paragraphs 16-18;				
EXAMINER SIGNATUR		/Catherine Hibbert/ DATE CONSIDERED 04/14/2009			

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DATE CONSIDERED

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Filing Date	January 4, 2002
Et and No. 11	David Baltimore
First Named Inventor	et al.
Art Unit	1636
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# INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

,			Examiner Name	D. Guzo	
			Attorney Docket No.	75723-ZB/JPW	/GJG
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Examiner Initials	Cite No.		page(s), volume-issue nu	riate), title of the item mber(s), publisher, city	T <sup>2</sup>
/C.H./	15	November 11, 2005 Reply Expert Report Ravetch, paragraphs 6-9;	ort of Dr. Je	ffrey	
/C.H./	16	November 11, 2005 Reply Expert Repo Glimcher, page 11 and 12;	ort Of Dr. La	urie H.	
/C.H./	17	November 11, 2005 Rule 26(b)(2) Rep Livingston, M.D., pages 17-18;	oly Report of	David M.	
/C.H./	18	November 22, 2005 Condensed Deposition of Stephen Prescott 18 in Civil Case 02 CV 11280 RWZ, page 226, line 24 - page 242, line 25 and page 262, line 9 - page 267, line 20;			
/C.H./	19	November 30, 2005 Condensed Deposition of Jeffrey V. Ravetch in Civil Case 02 CV 11281 RWZ, page 40, line 8 - page 58, line 25;			
/C.H./	20	Trial Transcript - April 26, 2006 Jury Trial Day 12, Second Session in Civil Case 02 CV 11281 RWZ, page 112, line 10 and page 124, line 16;			
	21	Curriculum Vitae of Dr. Inder Verma;			
	_				
/C.H./	22	October 10, 2007 The Amgen Entities' Responses To Whitehead's First Set of Interrogatories in Civil Action No. 06-259 (MPT);			
/C.H./	23	October 10, 2007 Wyeth's Responses To Whitehead's First Set of Interrogatories (Nos. 1-15) in Civil Action No. 06-259 (MPT);			
/C.H./	24	October 11, 2007 Wyeth's Second Set of Requests For Production of Documents And Things (Nos. 22-101) To Ariad, Harvard, MIT, and Whitehead in Civil Action No. 06-259 (MPT);			
/C.H./	25	October 15, 2007 The Amgen Entities' Responses to MIT's First Set of Interrogatories in Civil Action No. 06-259 (MPT);			
EXAMINER		(Cathorina Hibbart)	0.4/4.4/0000		

EXAMINER /Catherine Hibbert/

DATE CONSIDERED 04/14/2009

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#### Application Number 10/037,415 Form PTO-1449 Substitute U.S. Department of Commerce January 4, 2002 Patent and Trademark Office Filing Date David Baltimore INFORMATION DISCLOSURE STATEMENT

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/C.H./	26	October 15, 2007 The Amgen Entities First Set of Interrogatories in Civ (MPT);	' Responses il Action No	to Harvard's . 06-259	
/C.H./	27	October 15, 2007 The Amgen Entities Fourth Set of Requests For Producti Action NO. 06-259 (MPT);	' Responses on of Docume	to Ariad's nts in Civil	
/C.H./	28	October 31, 2007 Wyeth's Fourth Set of Supplemental Responses And Objections To Ariad's First Set of Interrogatories (Nos. 1-25) in Civil Action No. 06-259 (MPT);			
/C.H./	29	October 31, 2007 Ariad's And The Institutions' Responses And Objections To Wyeth's First Set of Interrogatories in Civil Action No. 06-259 (MPT);			
/C.H./	30	October 31, 2007 Ariad's And The Institutions' Responses And Objections To Wyeth's First Set of Requests For Production of Documents And Things in Civil Action No. 06- 259 (MPT);			
/C.H./	31	November 6, 2007 Defendant And Counterclaim Plaintiff Ariad's Sixth Set of Requests For Production To The Amgen Entities in Civil Action No. 06-259 (MPT);			
/C.H./	32	November 7, 2007 Wyeth's First Set of Requests for 2 Admission (Nos. 1-160) To Ariad, Harvard, MIT, and Whitehead in Civil Action No. 06-259 (MPT);			
/C.H./	33	November 7, 2007 The Amgen Entities' Responses To Ariad's Fifth Set of Requests For Production of Documents in Civil Action No. 06-259 (MPT);			
/C.H./	34	November 7, 2007 Wyeth's Third Set of Requests For Production of Documents And Things (Nos. 101-109) To Ariad, Harvard, MIT, and Whitehead in Civil Action No. 06-259 (MPT);			
EXAMINE		/Catherine Hibbert/	04/14/2009		
SIGNATUR	<u> </u>	DATE CONSIDERED			

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Form PTO-1449 Substitute

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Application Number | 10/037,415 |
Filing Date | January 4, 2002 |
First Named Inventor | Et al. |
Art Unit | 1636 |
Examiner Name | D - CULTO |

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

			Examiner Name •	D-Cuzo	
			Attorney Docket No.	75723-ZB/JPW.	/GJG
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/C.H./	35	November 8, 2007 Wyeth's Responses T Requests For Production of Documents Civil Action No. 06-259 (MPT);	o Ariad's T (Nos. 108-	hird Set of 121) in	
/C.H./	36	November 12, 2007 The Amgen Entities Third Set of Interrogatories in Civi (MPT);	s' Responses 1 Action No	To Ariad's . 06-259	
/C.H./	37	November 12, 2007 Wyeth's Responses And Objections To Ariad And The Institutions' Second Set of Interrogatories (Nos. 26-29) in Civil Action No. 06-259 (MPT);			
/C.H./	38	November 13, 2007 Defendant And counterclaim Plaintiff 88 Ariad's Fourth Set of Requests For Production of Documents And Things to Wyeth in Civil Action No. 06-259 (MPT);			
/C.H./	39	November 15, 2007 Ariad's And The Institutions' Responses And Objections To Wyeth's Second Set of Interrogatories in Civil Case No. 06-259 (MPT);			
/C.H./	40	November 15, 2007 The Amgen Entities' Second Set of Requests For Admission Directed To Ariad And the Institutions in Civil Action No. 06-259 (MPT);			
/C.H./	41	November 15, 2007 The Amgen Entities' Third Set of Requests For Production of Documents And things Directed To Ariad And The Institutions in Civil Action No. 06-259 (MPT);			
/C.H./	November 15, 2007 Ariad's And the Institutions' Responses And Objections To Wyeth's Second Set of Requests For Production for Documents And Things in Civil Action No. 06- 259 (MPT);				
/C.H./	43	Deposition Transcript of Dr. Thomas 21, 2007, Amgen, Inc. v. F. Hoffmanr Company, Roche Diagnostics GmbH, a C Hoffmann-La Roche, Inc., A New Jerse District Court, District of Massachu No. 05-12237 WGY;	-La Roche L German Compa y Corporati	td., a Swiss ny, and on, U.S.	
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EXAMINER SIGNATURE /Catherine Hibbert/

DATE CONSIDERED 04/14/2009

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### U.S. Department of Commerce Patent and Trademark Office

#### Filing Date January 4, 2002 David Baltimore First Named Inventor et al. Art Unit 1636

Application Number 10/037, 415

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			Attorney Docket No.	75723-ZB/JPW,	/GJG	
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/C.H./	44	October 11, 2007 Wyeth's Second Set Ariad, The President And Fellows of Massachusetts Institute of Technold Institute For Biomedical Research i 259 (MPT);	Harvard Col	lege, The Whitehead		
/C.H./	45	October 19, 2007 Counterclaim Plaintiffs Ariad's And The Institutions' Second Set of Interrogatories To Wyeth in Civil Action No. 06-259 (MPT);				
/C.H./	46	October 19, 2007 Counterclaim Plaintiffs Ariad's And The Institutions' Third Set of Interrogatories To The Amgen Entities in Civil Action No. 06-259 (MPT);				
/C.H./	47	October 22, 2007 Wyeth's Third Set of Interrogatories To Ariad, The President And Fellows of Harvard College, The Massachusetts Institute of Technology, And The Whitehead Institute For Biomedical Research in Civil Action No. 06-259 (MPT);				
/C.H./	48	November 15, 2007 Amgen Entities' Third Set of Interrogatories Directed To Ariad And the Institutions in Civil Action No. 06-259 (MPT);				
/C.H./	49	January 18, 2008 Expert Report of Randolph Wall, Ph.D. in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;				
/C.H./	50	The Amgen Entities' 5 <sup>th</sup> Notice of Deposition to Ariad, Whitehead, Harvard and MIT Pursuant to Fed. R.Civ. P.30(b)(6), dated January 25, 2008, in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;				
EXAMINER SIGNATUR						

DATE CONSIDERED \*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a checkmark here if English language Translation is attached.

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Examiner Name	D. Cuzo
Art Unit	1636
First Named Inventor	
First Named Inventor	David Baltimore
Filing Date	January 4, 2002
Application Number	10/037,415

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/C.H./	51	January 31, 2008 Memorandum Order of the Hon. Judge Thynge on Amgen's Motion to Amend and Supplement Their Reply to ARIAD's Counterclaims in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;			
/C.H./	52	January 18, 2008 Expert Report of Jeffrey V. Ravetch, M.D., Ph.D. in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;			
/C.H./	53	January 18, 2008 Expert Report of Randolph Wall, Ph.D. in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;			
/C.H./	54	October 21, 2005 Rule 26(A)(2) Rebuttal Report of Thomas R. Kadesch, Ph.D. in the litigation captioned Ariad Pharmaceuticals, Inc. et al. v. Eli Lilly and Co., U.S. District Court for the District of Massachusetts, CA No. 02 CV 11280 RWZ;			
/C.H./	55	June 21, 2007 Deposition Transcript Kadesch in the litigation captioned Hoffmann-La Roche Ltd., et al., U.S. District of Massachusetts, CA No. 05	Amgen, Inc. District Co	v. F.	
/C.H./ ू	56	November 6, 2007 Transcript of Video Patricia Granahan, Sc.D., pgs. 1-235 Action No. 06-259 (MPT), including i 08/464,364 (Pat. No. 6,410,516) [Ame Dep. Exh. 195; 2) 08/463,397 (Pat. Xariad Plaintiffs Dep. Exh. 196]; 3) Ariad Plaintiffs Dep. Exh. 197]; 4) Ariad Plaintiffs Dep. Exh. 198]; 5) Ariad Plaintiffs Dep. Exh. 199]; 6) Ariad Plaintiffs Dep. Exh. 199]; 6) Ariad Plaintiffs Dep. Exh. 199]; 6) Ariad Plaintiffs Dep. Exh. 200]; 7)	6 & A.1-A.23, 1) file history (en v. Ariado (o. 6,150,090 (08/418,266   07/341,436   06/946,365   07/318,901	in Civil Dry of: 1) Plaintiffs ) [Amgen v. [Amgen v. [Amgen v. [Amgen v. [Amgen v.	

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First Named Inventor	et al.
Art Unit	1636
Examiner Name	D. Guzo
Attorney Docket No.	75723-ZB/JPW/GJG

	NON PATENT LITERATURE DOCUMENTS  Examiner   Cite   Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item   T						
Examiner Initials	Cite No. <sup>1</sup>						
/C.H./	56 con	Ariad Plaintiffs Dep. Exh. 201]; 8) 07/791,898 [Amgen v. Ariad Plaintiffs Dep. Exh. 202] and, ii) deposition Exhibits 1-17 which are attached with this Supplemental Information Disclosure Statement, namely: 1) file history of 07/162,680 [inc. Tabs 1-29] (Granahan Exh. 1); 2) November 14, 1988 correspondence from Patricia Granahan [Granahan Exh. 2); 3) Declaration for patent application U.S. Serial No. 07/318,901 (Granahan Exh. 3); 4) Code of Federal Regulations (Granahan Exh. 4); 5) August 10, 1990 correspondence from Patricia Granahan [Chanahan Exh. 5); 6) March 22, 1990 correspondence from Patricia Granahan (Granahan Exh. 6); 7) Manual of Patent Examining Procedure (Granahan Exh. 6); 7) Manual of Patent Examining Procedure (Granahan Exh. 7); 8) WO 87/04170 (Granahan Exh. 8); 9) Redacted front sheet; Notice Informing the Applicant of Communication of the International Application to the Designated Offices issued under PCT Rule 47.1(c) first sentence inc. WO 89/08147 (Granahan Exh. 9); 10) Redacted; 11) EP 0 407 411 B1 (Granahan Exh. 11); 12) Redacted; and 17) Redacted;					
/C.H./	57	November 7, 2007 Transcript of Videotaped Deposition of Lisa Marie Treannie, pgs. 1-130 & A.1-A.13, in Civil Action No. 06-259 (MPT), including deposition Exhibits 1-6 which are attached with this Supplemental Information Disclosure Statement, namely: 1) January 18, 1996 correspondence from Kiyoshi Asamura (Treannie Exh. 1, 11-7-07); 2) February 2, 1996 correspondence from Lisa Warren (Treannie Exh. 2, 11-7-07); 3) March 17, 1997 correspondence from Lisa Warren (Treannie Exh. 3, 11-7-07); 4) correspondence from Patricia Granahan (Treannie Exh. 4, 11-7-07); 5) July 8, 1997 correspondence from Lisa M. Warren (Treannie Exh. 5, 11-7-07); and 6) U.S. Serial No. 817,441 inc. Tabs 1-28					

DATE CONSIDERED \*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'Applicant is to place a checkmark here if English language Translation is attached.

04/14/2009

November 9, 2007 (Draft Transcript) of Matthew Perry

Vincent, in Civil Action No. 06-259 (MPT);

{Treannie Exh. 6, 11-7-07};

/Catherine Hibbert/

/C.H./

EXAMINER

SIGNATURE

#### Form PTO-1449 Substitute

#### U.S. Department of Commerce Patent and Trademark Office

#### 

### INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Examiner Name Dr. Guzo
Attorney Docket No. 75723-ZB/JPW/GJ

		(book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.  November 13, 2007 Transcript of Videotaped Deposition of David L. Berstein, Ph.D., pgs. 1-324 & A.1-A.30, in Civil Action No. 06-259 (MPT) including deposition Exhibits 1-16 which are attached with this Supplemental Information Disclosure Statement, namely: 1) Wyeth's First Notice of Deposition Pursuant to Fed. R. Civ. P. 30 (b) (6) To Ariad, Harvard, MIT, And Whitehead (Berstein Exh. 1, 11-13-07); 2) November 5, 2007 correspondence from Nathan Lowenstein (Berstein Exh. 2, 11-13-07); 3) Manual of Patent Examining Procedure (Berstein Exh. 3, 11-13-07); 4) Redacted; 5) June 17, 1997 correspondence from Lisa Warren (Berstein Exh. 5) June 17, 1997 correspondence from Lisa Warren (Berstein Exh. 5)	T²
	No.¹	(book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.  November 13, 2007 Transcript of Videotaped Deposition of David L. Berstein, Ph.D., pgs. 1-324 & A.1-A.30, in Civil Action No. 06-259 (MPT) including deposition Exhibits 1-16 which are attached with this Supplemental Information Disclosure Statement, namely: 1) Wyeth's First Notice of Deposition Pursuant to Fed. R. Civ. P. 30 (b) (6) To Ariad, Harvard, MIT, And Whitehead (Berstein Exh. 1, 11-13-07); 2) November 5, 2007 correspondence from Nathan Lowenstein (Berstein Exh. 2, 11-13-07); 3) Manual of Patent Examining Procedure (Berstein Exh. 3, 11-13-07); 4) Redacted; 5) June 17, 1997 correspondence from Lisa Warren (Berstein Exh. 5) June 17, 1997 correspondence from Lisa Warren (Berstein Exh. 5)	T <sup>2</sup>
		David L. Berstein, Ph.D., pgs. 1-324 & Å.1-A.30, in Civil Action No. 06-259 (MPT) including deposition Exhibits 1-16 which are attached with this Supplemental Information Disclosure Statement, namely: 1) Wyeth's First Notice of Deposition Pursuant to Fed. R. Civ. P. 30 (b) (6) To Ariad, Harvard, MIT, And Whitehead (Berstein Exh. 1, 11-13-07); 2) November 5, 2007 correspondence from Nathan Lowenstein (Berstein Exh. 2, 11-13-07); 3) Manual of Patent Examining Procedure (Berstein Exh. 3, 11-13-07); 4) Redacted; 5) June 17, 1997 correspondence from Lisa Warren (Berstein Exh. 3, 11-13-07); 4) Refertein	
/C.H./		Exh. 5, 11-13-07); 6) September 15, 1997 correspondence from Lisa Warren (Berstein Exh. 6, 11-13-07); 7) Redacted; 8) February 17, 1998 correspondence from Patricia Granahan (Berstein Exh. 8, 11-13-07); 9) Notice of Allowance (Berstine Exh. 9, 11-13-07); 10) August 30, 1994 memorandum from Patricia Granahan (Berstein Exh. 10, 11-13-07); 11) May 15, 2000 correspondence from Harvey Berger (Berstein Exh. 11, 11-13-07); 12) Redacted; 13) December 22, 1994 correspondence from Patricia Granahan (Berstein Exh. 13, 11-13-07); 14) Redacted; 15) Redacted; and 16) Redacted;	
/C.H./	60	November 29, 2007 Transcript of Deposition of Laurie Allen, Volume I, pgs. 2-150 & A.1-A.16 in Civil Action No. 06-259 (MPT);	
/C.H./	61	November 30, 2007 Transcript of Deposition of Laurie Allen, Volume II, pgs. 151-271 & A.1-A.13 in Civil Action No. 06- 259 (MPT);	
/C.H./	62	December 14, 2007 Transcript of Videotaped Deposition of Harvey J. Berger, M.D., pgs. 1-146 & A.1-A.17 in Civil Action No. 06-259 (MPT);	
/C.H./	63	December 12, 2007 Transcript of Videotaped Deposition of Lita Nelsen, pgs. 2-222 & A.1-A.23 in Civil Action No. 06- 259 (MPT)	
XAMINER		/Catherine Hibbert/ DATE CONSIDERED 04/14/2009	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'Applicant is to place a checkmark here if English language Trinsation is attacked.

Form PTO-1449 Substitute

U.S. Department of Commerce Patent and Trademark Office

Application Number 10/037, 415 Filing Date January 4, 2002 David Baltimore First Named Inventor et al. Art Unit 1636 D. Guzo Examiner Name

### INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

				Examiner Name	9420	
				Attorney Docket No.	75723-ZB/JPW	/GJG
		NON PATENT	LITERATURE DOC	UMENTS		
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item			T <sup>2</sup>	
/C.H./	64	December 18, 2007 Transcript of Deposition of Isabelle Clauss, Volume I, pgs. 1-100 & A.1-A.10 in Civil Action No. 06-259 (MPT);				
/C.H./	65	February 22, 2008 Expert Report of David M. Livingston, M.D., in Civil Action No. 06-259 (MPT);				
/C.H./	66	February 22, 2008 Rebuttal Report of Jeffrey V. Ravetch, M.D., Ph.D., in Civil Action No. 06-259 (MPT);				
/C.H./	67	February 22, 2008 Rebuttal Expert Report of Randolph Wall, Ph.D., in Civil Action No. 06-259 (MPT);				
/C.H./	68	March 7, 2008 Reply Ex M.D., Ph.D., in Civil	pert Report of Action No. 06-	Warner Cra -259 (MPT);	ig Greene,	
/C.H./	69	December 11, 2007 Amgen Entities' first Amended Reply to Ariad, Harvard, MIT, And Whitehead's Amended Counterclaims, in Civil Action No. 06-259 (MPT);				
/C.H./	70	January 22, 2008 Telephone Conference before Mary Pat Thynge, U.S. Magistrate Judge, in Civil Action No. 06-259 (MPT);				
/C.H./	71	February 8, 2008 Defendants-Counterclaim-Plaintiffs Ariad's And The Institutions' Memorandum of Law In Support of Their Motion To Amend Counterclaims, in Civil Action No. 06-259 (MPT);				
/C.H.	72	February 8, 2008 Declaration of David Greenwald In Support of Defendants-Counterclaim-Plaintiffs Ariad's And The institutions' Motion to Amend Counterclaims, in Civil Action No. 06-259 (MPT);				
/C.H./	73	March 7, 2008 Defendants-Counterclaim-Plaintiffs Ariad's And The Institutions; Reply Memorandum In Support of Their Reply Memorandum in Support of Their Motion to Amend Counterclaims, Civil Action No. 06-259 (MPT);				
EXAMINE	1	/Catherine Hibbert/		04/14/2000		

SIGNATURE

04/14/2009 DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a checkmark here if English language Translation is attached.

#### Form PTO-1449 Substitute U

U.S. Department of Commerce Patent and Trademark Office

Application Number	10/037,415
Filing Date	January 4, 2002
First Named Inventor	David Baltimore et al.
Art Unit	1636
Examiner Name	D. Guzo

# INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

				Examiner Name	<del>D:Guzo</del>	
				Attorney Docket No.	75723-ZB/JPW	/GJG
(3)		NON PATENT	LITERATURE DOC	UMENTS		
Examiner Initials*	Cite No.				T <sup>2</sup>	
/C.H./	74	February 22, 2008 Expe M.D., in Civil Action	ert Report of James Mark Jackson, No. 06-259 (MPT);			
/C.H./	75	February 20, 2008 Condensed Transcript of Harvey Berger in the litigation captioned Amgen, Inc. Et al. v. Ariad Pharmaceuticals, Inc. et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;				
/C.H./	76	March 7, 2008 Reply Expert Report of Randolph Wall, Ph.D. in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT,				
/C.H./	77	February 20, 2008 Condensed Transcript of Harvey Berger in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;				
/C.H./	78	March 5, 2008 Reply Expert Report of Jeffrey M. Ravetch, Ph.D. in the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT;				
/C.H./	79	October 30, 2007 Memorandum In Support of Eli Lilly And Company's Motion For Relief From Judgment Under Fed. R. Civ. P. 60(b) And for Additional Discovery, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co, U.S. District Court for the District of Massachusetts, in Civil Action No. 02-1280 RWZ;				
/C.H./	80	November 15, 2007 Plaintiffs' Opposition To Lilly's Renewed Motion For Judgment As A Matter of Law Or, In The Alternative, A New Trial, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co, U.S. District Court for the District of Massachusetts, in Civil Action No. 02-11280 RWZ;				
EXAMINER	. –	(0-1)21711		04/14/2009		

SIGNATURE

/Catherine Hibbert/

DATE CONSIDERED 04/14/2009

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U.S. Department of Commerce Patent and Trademark Office

# INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

(Use sev	erai	sneets if necessary)				_
,				Examiner Name	D. Guzo	
				Attorney Docket No.	75723-ZB/JPW.	/GJG
	,		LITERATURE DOCU			
Examiner Initials					T <sup>2</sup>	
/C.H./	81	December 5, 2007 Opposition To Eli Lilly And Company's Motion For Relief From Judgment Under Fed. R. Civ. P. 60(b) (Redacted version of document filed under seal), including Exhibits 1-7, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co. U.S. District Court for the District of Massachusetts, in Civil Action No. 02-11280 RWZ;				
/C.H./	82	December 13, 2007 Reply In Support of Defendant's Renewed Motion For Judgment As a Matter of Law Or, In the 2 Alternative, A New Trial, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co, U.S. District Court for the District of Massachusetts, in Civil Action No. 02-11280 RWZ;				
/C.H./	83	December 26, 2007 Sur-Reply In Support of Plaintiff's Opposition To Defendant's Renewed Motion For Judgment As a Matter Of Law Or, In The Alternative, A New Trial, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co, U.S. District Court for the District of Massachusetts, in Civil Action No. 02-11280 RWZ;				
/C.H./		February 8, 2008 Notice Of Supplemental Authority, including attachment, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co, U.S. District Court for the District of Massachusetts, in Civil Action No. 02-11280 RWZ;				
/C.H./	85	March 10, 2008 Notice of Appeal, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co, U.S. District Court for the District of Massachusetts, in Civil Action No. 02-11280 RWZ;				
/C.H./	86	March 18, 2008 Notice of Docketing, Ariad Pharmaceuticals, Inc. v. Eli Lilly & Co, U.S. District Court for the District of Massachusetts, in Civil Action No. 02-11280 RWZ;				
/C.H./	87	Index of Civil Docket F starting from July 6, 2 Eli Lilly & Co., U.S. D Massachusetts;	007, Ariad Ph	armaceutica	ls, Inc. v.	
EXAMINER		/Catherine Hibbert/		04/14/2000		

EXAMINER SIGNATURE /Catherine Hibbert/

DATE CONSIDERED

04/14/2009

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Form PTO-1449 Substitute

**U.S. Department of Commerce** Patent and Trademark Office

Application Number 10/037, 415 January 4, 2002 Filing Date David Baltimore First Named Invento et al. Art Unit 1636 Examiner Name

### INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

		Attorney Docket No. 75723-ZB/JPW/	GJG	
		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.1		T <sup>2</sup>	
/C.H./	88	April 25, 2008 Defendants-Counterclaim-Plaintiffs' Opening Brief on Claim Construction, U.S. District Court for the District of Delaware, CA No. 06-259-MPT;		
/C.H./	89	April 25, 2008 The Amgen Entities' Brief In Support of Their Motion For Summary Judgment of Noninfringement of U.S. Patent No. 6,410,516, U.S. District Court for the District of Delaware, in Civil Action No. 06-259 (MPT)		
/C.H./	90	April 25, 2008 The Amgen Entities' Brief In Support of Their Motion to Preclude Ariad's Proffered Experts on Willfulness and Inequitable Conduct From Opining on Intent, State of Mind, and Other Matters, U.S. District Court for the District of Delaware, in Civil Action No. 06-259 (MPT) Public Version Confidential Material Omitted;		
/C.H./	91	April 25, 2008 The Amgen Entities' Brief In Support of Their Daubert Motion To Preclude Certain Unsupported and Unreliable Opinions of Dr. Ryan Sullivan Relating to Damages, U.S. District Court for the District of Delaware, in Civil Action No. 06-259 (MPT) Public Version Confidential Material Omitted;		
/C.H./	92	April 25, 2008 The Amgen Entities' Brief In Support of Its Daubert Motion To Preclude Certain Proffered Opinions of Dr. Jeffrey V. Ravetch Relating to Written Description and Inherent Anticipation, U.S. District Court for the District of Delaware, in Civil Action No. 06-259 (MPT);		
/C.H./	93	April 25, 2008 Memorandum In Support of Defendants- Counterclaim-Plaintiffs' Motion for Partial Dismissal For Lack of Subject Matter Jurisdiction, U.S. District Court for the District of Delaware, CA No. 06-259-MPT;		
/C.H./	94	April 25, 2008 Covenant Not To Sue, U.S. District Court for the District of Delaware, CA No. 06-259-MPT; and		
EXAMINE P SIGNATUR		/Catherine Hibbert/ DATE CONSIDERED 04/14/2009		

DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a checkmark here if English language Translation is attached.

10037415 - GAU: 1636 Form PTO-1449 Substitute U.S. Department of Commerce Application Number 10/037,415 Patent and Trademark Office January 4, 2002 Filing Date David Baltimore First Named Inventor INFORMATION DISCLOSURE STATEMENT et al. Art Unit 1636 (Use several sheets if necessary) Examiner Name D. Guzo Attorney Docket No. 75723-ZB/JPW/GJG NON PATENT LITERATURE DOCUMENTS  $\overline{T^2}$ Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Initials No.1 (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. June 19, 2008 Transcript of Claim Construction Hearing in /C.H./ the litigation captioned Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT.

SIGNATURE DATE CONSIDERED \*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant

04/14/2009

/Catherine Hibbert/

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